SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/ UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

FORM **3** (Electronic Format)

Explanatory Notes

- 1. Please read the explanatory notes carefully before completing the notification form.
- This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
 - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
 - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Part I - General

1. Name of Listed Issuer:

CITIC Envirotech Ltd.

- 2. Type of Listed Issuer:
 - Company/Corporation
 - Registered/Recognised Business Trust
 - Real Estate Investment Trust

3. Is more than one Substantial Shareholder/Unitholder giving notice in this form?

- No (Please proceed to complete Part II)
- ✓ Yes (Please proceed to complete Parts III & IV)
- 4. Date of notification to Listed Issuer:

26-Oct-2016

	Part III - Substantial Sh	areholder(s)/Unitholder(s) De	tails							
[То	be used for multiple Substantial Sha	areholders/Ui	nitholders to give notic	ce]							
Sub	bstantial Shareholder/Unitholder A		Delete This Part III Substar	ntial Shareholder/Unitholder							
1.	Name of Substantial Shareholder/	Unitholder:									
	China Reform Overseas Feeder GP Ltd.										
2.	Is Substantial Shareholder/Unithonsecurities of the Listed Issuer are ☐ Yes ✓ No										
3.	Notification in respect of:										
	✓ Becoming a Substantial Sharehold	der/Unitholder									
	Change in the percentage level of	interest while	still remaining a Substa	ntial Shareholder/Unitholde							
	Ceasing to be a Substantial Share	Ceasing to be a Substantial Shareholder/Unitholder									
4.	Date of acquisition of or change in	Date of acquisition of or change in interest:									
	02-Oct-2016										
5.	Date on which Substantial Shareh change in, interest i (<i>if different</i>			•							
	03-Oct-2016			,							
6.	Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):										
	The substantial shareholder was only no such date.	tified of China F	Reform Puissance Oversea	s GP L.P.'s transaction on							
7.	Quantum of total voting shares/un warrants/convertible debentures { Unitholder before and after the tra	conversion p									
	Immediately before the transaction	Direct Inte		st Total							
un	 of voting shares/units held and/or iderlying the rights/options/warrants/ invertible debentures: 	0	0	0							
	a percentage of total no. of voting shares/ its:	0	0	0							
	Immediately after the transaction	Direct Inte	rest Deemed Interes	st Total							
un	 of voting shares/units held and/or iderlying the rights/options/warrants/ invertible debentures : 	0	269,024,005	269,024,005							
	a percentage of total no. of voting shares/ its:	0	23.85	23.85							

8.	[You	Circumstances giving rise to deemed interests (<i>if the interest is such</i>): [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]									
	China Enviro place GP L.F is the	Reform Puissance Overseas GP L.P. entered into a conditional share purchase agreement with KKR Water Investment Limited on 2 October 2016 to acquire 269,024,005 ordinary shares of CITIC btech Ltd. ("Sale Shares"). Completion under the conditional share purchase agreement has not taken Pursuant to Section 4(7) of the Securities and Futures Act, Cap. 289, China Reform Puissance Overseas P. is deemed to have an interest in such Sale Shares. China Reform Puissance Overseas Holdings Limited general partner of China Reform Puissance Overseas GP L.P China Reform Overseas Feeder GP Ltd. has b interest in the voting rights of China Reform Puissance Overseas Holdings Limited.									
9.	[You	tionship between the Substantial Shareholders/Unitholders giving notice in this form: may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ holders]									
	Comp	Reform Overseas Feeder GP Ltd. is a wholly-owned subsidiary of China Reform Overseas Hongkong pany Limited which is, in turn, a wholly-owned subsidiary of 国新基金管理有限公司 (China Reform Management Co., Ltd.).									
10.	Atta	chments (<i>if any</i>): 🕤									
	Ø	(The total file size for all attachment(s) should not exceed 1MB.)									
11.	lf thi	s is a replacement of an earlier notification, please provide:									
	(a)	SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (<i>the "Initial Announcement"</i>):									
		S G 1 6 1 0 1 1 0 T H R Z V 5 4									
	(b)	Date of the Initial Announcement:									
		11-Oct-2016									
	(c)	15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:									
		6 6 3 3 4 2 4 6 9 3 1 8 9 3									
12.	Rem	harks (<i>if any</i>):									
		ence to "China Reform Puissance Overseas Holdings Limited" was omitted from the description of the holding structure above "China Reform Puissance Overseas GP L.P. ".									
		hareholding percentages set out above are calculated based on 1,127,927,588 issued and paid up s as at 5 October 2016.									
<u>Sub</u>	ostantia	al Shareholder/Unitholder B 🕤 Delete This Part III Substantial Shareholder/Unitholder									
1.	Nam	ne of Substantial Shareholder/Unitholder:									
	China	a Reform Overseas Hongkong Company Limited									
2.	secu	ubstantial Shareholder/Unitholder a fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager(s)? Tes									
	V N										

- 3. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 4. Date of acquisition of or change in interest:
 - 02-Oct-2016
- 5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 4 above, please specify the date*):

03-Oct-2016

6. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

The substantial shareholder was only notified of China Reform Puissance Overseas GP L.P.'s transaction on such date.

7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	0	0
As a percentage of total no. of voting shares/ units:	0	0	0
Immediately after the transaction	Direct Interest	Deemed Interest	Total
•			10101
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	0	269,024,005	269,024,005

8. Circumstances giving rise to deemed interests (*if the interest is such*):

[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

China Reform Puissance Overseas GP L.P. entered into a conditional share purchase agreement with KKR China Water Investment Limited on 2 October 2016 to acquire 269,024,005 ordinary shares of CITIC Envirotech Ltd. ("Sale Shares"). Completion under the conditional share purchase agreement has not taken place. Pursuant to Section 4(7) of the Securities and Futures Act, Cap. 289, China Reform Puissance Overseas GP L.P. is deemed to have an interest in such Sale Shares. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P.. China Reform Overseas Feeder GP Ltd. has a 40% interest in the voting rights of China Reform Puissance Overseas Holdings Limited. China Reform Overseas Feeder GP Ltd. is a wholly-owned subsidiary of China Reform Overseas Hongkong Company Limited which is, in turn, a wholly-owned subsidiary of 国新基金管理有限公司 (China Reform Fund Management Co., Ltd.).

China Reform Overseas Feeder GP Ltd. is a wholly-owned subsidiary of 国新基金管理有限公司 (China Reform Fund Management Co. Ltd.). 10. Attachments (if any): ① <i>w</i>	 Company Limited which is, in turn, a wholly-owned subsidiary of 国新基 Fund Management Co., Ltd.). 10. Attachments (<i>if any</i>): ① <i>O</i> (<i>The total file size for all attachment(s) should not exceed 1MB.</i>) 11. If this is a replacement of an earlier notification, please provid (a) SGXNet announcement reference of the <u>first</u> notification SGXNet (<i>the "Initial Announcement"</i>): 	金管理有限公司 (China Reform									
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 2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)? Yes Yoo 3. Notification in respect of: Becoming a Substantial Shareholder/Unitholder Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder Ceasing to be a Substantial Shareholder/Unitholder 4. Date of acquisition of or change in interest: 											
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	Ceasing to be a Substantial Shareholder/Unitholder	Ceasing to be a Substantial Shareholder/Unitholder									
02-Oct-2016	4. Date of acquisition of or change in interest:										
	02-Oct-2016										

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 4 above, please specify the date*):

03-Oct-2016

6. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

The substantial shareholder was only notified of China Reform Puissance Overseas GP L.P.'s transaction on such date.

7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	0	0
As a percentage of total no. of voting shares/ units:	0	0	0
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/ units:	0	23.85	23.85

8. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

China Reform Puissance Overseas GP L.P. entered into a conditional share purchase agreement with KKR China Water Investment Limited on 2 October 2016 to acquire 269,024,005 ordinary shares of CITIC Envirotech Ltd. ("Sale Shares"). Completion under the conditional share purchase agreement has not taken place. Pursuant to Section 4(7) of the Securities and Futures Act, Cap. 289, China Reform Puissance Overseas GP L.P. is deemed to have an interest in such Sale Shares. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P.. China Reform Overseas Feeder GP Ltd. has a 40% interest in the voting rights of China Reform Puissance Overseas Holdings Limited. China Reform Overseas Feeder GP Ltd. is a wholly-owned subsidiary of China Reform Overseas Hongkong Company Limited which is, in turn, a wholly-owned subsidiary of 国新基金管理有限公司 (China Reform Fund Management Co., Ltd.).

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ Unitholders]

China Reform Overseas Feeder GP Ltd. is a wholly-owned subsidiary of China Reform Overseas Hongkong Company Limited which is, in turn, a wholly-owned subsidiary of 国新基金管理有限公司 (China Reform Fund Management Co., Ltd.). 10. Attachments (if any): 🕤

Ø

(The total file size for all attachment(s) should not exceed 1MB.)

- 11. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):

S	G	1	6	1	0	1	1	0	Т	Н	R	Z	V	5	4

(b) Date of the Initial Announcement:

11-Oct-2016

(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

6	6 6	3 3	3 4	2	4	6	9	3	1	8	9	3	
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12. Remarks (if any):

Reference to "China Reform Puissance Overseas Holdings Limited" was omitted from the description of the shareholding structure above "China Reform Puissance Overseas GP L.P. ".

The shareholding percentages set out above are calculated based on 1,127,927,588 issued and paid up shares as at 5 October 2016.

Additional Substantial Shareholder/Unitholder ("B", "C" etc) where the information in Part I and Part IV is the same for the additional Substantial Shareholder/Unitholder

Add New Part III Substantial Shareholder/Unitholder

Part IV - Transaction details

-	vpe of securities which are the subject of the transaction (more than one option may be nosen):
\checkmark	Voting shares/units
	Rights/Options/Warrants over voting shares/units
	Convertible debentures over voting shares/units (conversion price known)
	Others (please specify):
	Imber of shares, units, rights, options, warrants and/or principal amount of convertible bentures acquired or disposed of by Substantial Shareholders/Unitholders:
26	9,024,005 ordinary shares
	nount of consideration paid or received by Substantial Shareholders/Unitholders (<i>excluding</i> okerage and stamp duties):
N./	A. This notification relates to a deemed interest.
Ci	rcumstance giving rise to the interest or change in interest:
Ac	quisition of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
Dis	sposal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
Ot	her circumstances:
	Acceptance of take-over offer for the Listed Issuer
	Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in (<i>please specify</i>):
✓	Others (<i>please specify</i>):
Wa Sh L.F	ina Reform Puissance Overseas GP L.P. entered into a conditional share purchase agreement with KKR China ater Investment Limited on 2 October 2016 to acquire 269,024,005 ordinary shares of CITIC Envirotech Ltd. ("Sa ares"). Pursuant to Section 4(7) of the Securities and Future Act, Cap. 289, China Reform Puissance Overseas GP P. is deemed to have an interest in such Sale Shares. China Reform Puissance Overseas Holdings Limited is the neral partner of China Reform Puissance Overseas GP L.P

FORM 3/[Version 2.0]/Effective Date [21 March 2014]

Item 5 is to be completed by an individual submitting this notification form on behalf of the Substantial Shareholders/Unitholders.

5. Particulars of Individual submitting this notification form to the Listed Issuer:

(a) Name of Individual:

Joanna Tang

(b) Designation (*if applicable*):

Office Manager

(c) Name of entity (*if applicable*):

China Reform Puissance Overseas GP L.P.

Transaction Reference Number (auto-generated):

6	3 1	3	3	6	2	4	6	1	4	9	1	2	3	
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