

SECURITIES AND FUTURES ACT (CAP. 289)
SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS)
REGULATIONS 2012

**NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/
UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES**

FORM

3

(Electronic Format)

Explanatory Notes

1. Please read the explanatory notes carefully before completing the notification form.
2. This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
10. In this form, the term "Listed Issuer" refers to -
 - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
 - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

Import XML

Export XML

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
 - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
 - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estate-related assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at <http://www.mas.gov.sg> (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Part I - General

1. Name of Listed Issuer:

CITIC Envirotech Ltd.

2. Type of Listed Issuer:

- Company/Corporation
 Registered/Recognised Business Trust
 Real Estate Investment Trust

3. Is more than one Substantial Shareholder/Unitholder giving notice in this form?

- No *(Please proceed to complete Part II)*
 Yes *(Please proceed to complete Parts III & IV)*

4. Date of notification to Listed Issuer:

04-Jan-2017

Save as FINAL

Save as DRAFT

Part III - Substantial Shareholder(s)/Unitholder(s) Details

[To be used for multiple Substantial Shareholders/Unitholders to give notice]

Substantial Shareholder/Unitholder **A**



Delete This Part III Substantial Shareholder/Unitholder

1. Name of Substantial Shareholder/Unitholder:

Soochow International Capital Limited

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

Yes

No

3. Notification in respect of:

Becoming a Substantial Shareholder/Unitholder

Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:

30-Dec-2016

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):

30-Dec-2016

6. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

<i>Immediately before the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	0	0
As a percentage of total no. of voting shares/units:	0	0	0
<i>Immediately after the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures :	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/units:	0	23.85	23.85

Save as FINAL

Save as DRAFT

8. Circumstances giving rise to deemed interests (if the interest is such):
[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

On 30 December 2016, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited. The acquisition was effected by the issue and allotment of new voting shares by China Reform Puissance Overseas Holdings Limited to China Reform Overseas Feeder GP Ltd., Golden Bridge Capital Holdings Limited and Soochow International Capital Limited ("Share Issuance"). Another consequence of the Share Issuance was the dilution of (i) the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to an 8% interest and (ii) the 40% interest held by China Reform Overseas Feeder GP Ltd in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to a 32% interest, in each case after the Share Issuance.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form:
[You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]

CRF Envirotech Co., Ltd. has a direct interest in 269,024,005 of the voting shares in CITIC Envirotech Ltd. (held through a nominee). CRF Envirotech Fund L.P. holds two-thirds of all the voting power in CRF Envirotech Co., Ltd and China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.) holds the remaining one-third of all of the voting power in CRF Envirotech Co., Ltd.. CRF Envirotech GP Ltd. is the general partner of CRF Envirotech Fund L.P.. China Reform Puissance Overseas GP L.P. is the general partner of China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.). China Reform Puissance Overseas GP L.P. holds 100% of the voting power in CRF Envirotech GP Ltd.. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P..

As stated in section 8. above, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited on 30 December 2016. Soochow International Capital Limited is the wholly-owned subsidiary of Soochow Securities (Hong Kong) Financial Holdings Limited. Soochow Securities (Hong Kong) Financial Holdings Limited is the wholly-owned subsidiary of Soochow Securities Co., Ltd.. The other shareholders of China Reform Puissance Overseas Holdings Limited are China Reform Overseas Feeder GP Ltd. (which holds 32% of the voting power), Golden Bridge Capital Holdings Limited (which holds 30% of the voting power) and Puissance Overseas Feeder GP Ltd. (which holds 8% of the voting power).

The holder of 100% of the voting power in China Reform Overseas Feeder GP Ltd. was changed from China Reform Overseas Hongkong Company Limited to China Reform Overseas Cayman Company Limited on 30 December 2016. See Substantial Shareholders H and I of this Form 3 for further details.

Other than as set out in all the sections 8. of this Form 3, there have been no changes with respect to the deemed interest of the upstream entities and shareholders as previously disclosed in the Forms 3 notified to CITIC Envirotech Ltd. on 26 October 2016, 10 November 2016 and 16 November 2016. Note that China Reform Puissance Overseas Fund I L.P. changed its name to China Reform Soochow Overseas Fund I L.P. on 28 December 2016.

10. Attachments (if any): 



(The total file size for all attachment(s) should not exceed 1MB.)

11. If this is a **replacement** of an earlier notification, please provide:

- (a) SGXNet announcement reference of the **first** notification which was announced on SGXNet (the "Initial Announcement"):

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

- (b) Date of the Initial Announcement:

--

(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

12. Remarks (if any):

Substantial Shareholder/Unitholder B



Delete This Part III Substantial Shareholder/Unitholder

1. Name of Substantial Shareholder/Unitholder:

Soochow Securities (Hong Kong) Financial Holdings Limited

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

- Yes
 No

3. Notification in respect of:

- Becoming a Substantial Shareholder/Unitholder
 Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:

30-Dec-2016

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):

30-Dec-2016



6. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	0	0

Save as FINAL

Save as DRAFT

As a percentage of total no. of voting shares/ units: 	0	0	0
<i>Immediately after the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/ units: 	0	23.85	23.85

8. Circumstances giving rise to deemed interests (if the interest is such):

[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

On 30 December 2016, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited. The acquisition was effected by the issue and allotment of new voting shares by China Reform Puissance Overseas Holdings Limited to China Reform Overseas Feeder GP Ltd., Golden Bridge Capital Holdings Limited and Soochow International Capital Limited ("Share Issuance"). Another consequence of the Share Issuance was the dilution of (i) the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to an 8% interest and (ii) the 40% interest held by China Reform Overseas Feeder GP Ltd in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to a 32% interest, in each case after the Share Issuance.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form:

[You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]

CRF Envirotech Co., Ltd. has a direct interest in 269,024,005 of the voting shares in CITIC Envirotech Ltd. (held through a nominee). CRF Envirotech Fund L.P. holds two-thirds of all the voting power in CRF Envirotech Co., Ltd and China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.) holds the remaining one-third of all of the voting power in CRF Envirotech Co., Ltd.. CRF Envirotech GP Ltd. is the general partner of CRF Envirotech Fund L.P.. China Reform Puissance Overseas GP L.P. is the general partner of China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.). China Reform Puissance Overseas GP L.P. holds 100% of the voting power in CRF Envirotech GP Ltd.. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P..

As stated in section 8. above, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited on 30 December 2016. Soochow International Capital Limited is the wholly-owned subsidiary of Soochow Securities (Hong Kong) Financial Holdings Limited. Soochow Securities (Hong Kong) Financial Holdings Limited is the wholly-owned subsidiary of Soochow Securities Co., Ltd.. The other shareholders of China Reform Puissance Overseas Holdings Limited are China Reform Overseas Feeder GP Ltd. (which holds 32% of the voting power), Golden Bridge Capital Holdings Limited (which holds 30% of the voting power) and Puissance Overseas Feeder GP Ltd. (which holds 8% of the voting power).

The holder of 100% of the voting power in China Reform Overseas Feeder GP Ltd. was changed from China Reform Overseas Hongkong Company Limited to China Reform Overseas Cayman Company Limited on 30 December 2016. See Substantial Shareholders H and I of this Form 3 for further details.

Other than as set out in all the sections 8. of this Form 3, there have been no changes with respect to the deemed interest of the upstream entities and shareholders as previously disclosed in the Forms 3 notified to CITIC Envirotech Ltd. on 26 October 2016, 10 November 2016 and 16 November 2016. Note that China Reform Puissance Overseas Fund I L.P. changed its name to China Reform Soochow Overseas Fund I L.P. on 28 December 2016.

10. Attachments (if any): 



(The total file size for all attachment(s) should not exceed 1MB.)

11. If this is a **replacement** of an earlier notification, please provide:

(a) SGXNet announcement reference of the **first** notification which was announced on SGXNet (the "Initial Announcement"):

(b) Date of the Initial Announcement:

(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

12. Remarks (if any):

Substantial Shareholder/Unitholder **C** 

Delete This Part III Substantial Shareholder/Unitholder

1. Name of Substantial Shareholder/Unitholder:

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

Yes

No


3. Notification in respect of:

Becoming a Substantial Shareholder/Unitholder

Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest  (if different from item 4 above, please specify the date):

6. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

Save as FINAL

Save as DRAFT

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

<i>Immediately before the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	0	0
As a percentage of total no. of voting shares/units: ⓘ	0	0	0
<i>Immediately after the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures :	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/units: ⓘ	0	23.85	23.85

8. Circumstances giving rise to deemed interests (if the interest is such):
[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

On 30 December 2016, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited. The acquisition was effected by the issue and allotment of new voting shares by China Reform Puissance Overseas Holdings Limited to China Reform Overseas Feeder GP Ltd., Golden Bridge Capital Holdings Limited and Soochow International Capital Limited ("Share Issuance"). Another consequence of the Share Issuance was the dilution of (i) the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to an 8% interest and (ii) the 40% interest held by China Reform Overseas Feeder GP Ltd in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to a 32% interest, in each case after the Share Issuance.


9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form:
[You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]

CRF Envirotech Co., Ltd. has a direct interest in 269,024,005 of the voting shares in CITIC Envirotech Ltd. (held through a nominee). CRF Envirotech Fund L.P. holds two-thirds of all the voting power in CRF Envirotech Co., Ltd and China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.) holds the remaining one-third of all of the voting power in CRF Envirotech Co., Ltd.. CRF Envirotech GP Ltd. is the general partner of CRF Envirotech Fund L.P.. China Reform Puissance Overseas GP L.P. is the general partner of China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.). China Reform Puissance Overseas GP L.P. holds 100% of the voting power in CRF Envirotech GP Ltd.. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P..

As stated in section 8. above, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited on 30 December 2016. Soochow International Capital Limited is the wholly-owned subsidiary of Soochow Securities (Hong Kong) Financial Holdings Limited. Soochow Securities (Hong Kong) Financial Holdings Limited is the wholly-owned subsidiary of Soochow Securities Co., Ltd.. The other shareholders of China Reform Puissance Overseas Holdings Limited are China Reform Overseas Feeder GP Ltd. (which holds 32% of the voting power), Golden Bridge Capital Holdings Limited (which holds 30% of the voting power) and Puissance Overseas Feeder GP Ltd. (which holds 8% of the voting power).

The holder of 100% of the voting power in China Reform Overseas Feeder GP Ltd. was changed from China Reform Overseas Hongkong Company Limited to China Reform Overseas Cayman Company Limited on 30 December 2016. See Substantial Shareholders H and I of this Form 3 for further details.

Other than as set out in all the sections 8. of this Form 3, there have been no changes with respect to the deemed interest of the upstream entities and shareholders as previously disclosed in the Forms 3 notified to CITIC Envirotech Ltd. on 26 October 2016, 10 November 2016 and 16 November 2016. Note that China Reform Puissance Overseas Fund I L.P. changed its name to China Reform Soochow Overseas Fund I L.P. on 28 December 2016.

10. Attachments (if any): 



(The total file size for all attachment(s) should not exceed 1MB.)

11. If this is a **replacement** of an earlier notification, please provide:

- (a) SGXNet announcement reference of the **first** notification which was announced on SGXNet (the "Initial Announcement"):

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

- (b) Date of the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

- (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

12. Remarks (if any):

--

Substantial Shareholder/Unitholder **D**



Delete This Part III Substantial Shareholder/Unitholder

Save as FINAL

Save as DRAFT

1. Name of Substantial Shareholder/Unitholder:

Puissance Overseas Feeder GP Ltd.

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

Yes

No

3. Notification in respect of:

Becoming a Substantial Shareholder/Unitholder

Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:

30-Dec-2016

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest ⓘ (if different from item 4 above, please specify the date):

30-Dec-2016

6. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

<i>Immediately before the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/units: ⓘ	0	23.85	23.85
<i>Immediately after the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures :	0	0	0
As a percentage of total no. of voting shares/units: ⓘ	0	0	0

8. Circumstances giving rise to deemed interests (if the interest is such):

[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Save as FINAL

Save as DRAFT

On 30 December 2016, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited. The acquisition was effected by the issue and allotment of new voting shares by China Reform Puissance Overseas Holdings Limited to China Reform Overseas Feeder GP Ltd., Golden Bridge Capital Holdings Limited and Soochow International Capital Limited ("Share Issuance"). Another consequence of the Share Issuance was the dilution of (i) the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to an 8% interest and (ii) the 40% interest held by China Reform Overseas Feeder GP Ltd in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to a 32% interest, in each case after the Share Issuance.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form:
[You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]

CRF Envirotech Co., Ltd. has a direct interest in 269,024,005 of the voting shares in CITIC Envirotech Ltd. (held through a nominee). CRF Envirotech Fund L.P. holds two-thirds of all the voting power in CRF Envirotech Co., Ltd and China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.) holds the remaining one-third of all of the voting power in CRF Envirotech Co., Ltd.. CRF Envirotech GP Ltd. is the general partner of CRF Envirotech Fund L.P.. China Reform Puissance Overseas GP L.P. is the general partner of China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.). China Reform Puissance Overseas GP L.P. holds 100% of the voting power in CRF Envirotech GP Ltd.. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P..

As stated in section 8. above, the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited was diluted to 8% on 30 December 2016. Puissance Capital Management L.P. (which is controlled by Puissance Capital Management (GP) LLC) has an 83.3% interest in Puissance Overseas Feeder GP Ltd.. Theodore T. Wang has a 99% interest in Puissance Capital Management (GP) LLC. The other shareholders of China Reform Puissance Overseas Holdings Limited are China Reform Overseas Feeder GP Ltd. (which holds 32% of the voting power), Soochow International Capital Limited (which holds 30% of the voting power) and Golden Bridge Capital Holdings Limited (which holds 30% of the voting power).

The holder of 100% of the voting power in China Reform Overseas Feeder GP Ltd. was changed from China Reform Overseas Hongkong Company Limited to China Reform Overseas Cayman Company Limited on 30 December 2016. See Substantial Shareholders H and I of this Form 3 for further details.

Other than as set out in all the sections 8. of this Form 3, there have been no changes with respect to the deemed interest of the upstream entities and shareholders as previously disclosed in the Forms 3 notified to CITIC Envirotech Ltd. on 26 October 2016, 10 November 2016 and 16 November 2016. Note that China Reform Puissance Overseas Fund I L.P. changed its name to China Reform Soochow Overseas Fund I L.P. on 28 December 2016.

10. Attachments (if any): 



(The total file size for all attachment(s) should not exceed 1MB.)

11. If this is a **replacement** of an earlier notification, please provide:

(a) SGXNet announcement reference of the **first** notification which was announced on SGXNet (the "Initial Announcement"):

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(b) Date of the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

12. Remarks (if any):

Substantial Shareholder/Unitholder E



Delete This Part III Substantial Shareholder/Unitholder

1. Name of Substantial Shareholder/Unitholder:

Puissance Capital Management L.P.

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

- Yes
 No

3. Notification in respect of:

- Becoming a Substantial Shareholder/Unitholder
 Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:

30-Dec-2016

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):

30-Dec-2016


6. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

<i>Immediately before the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/units:	0	23.85	23.85
<i>Immediately after the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>

Save as FINAL

Save as DRAFT

No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures :	0	0	0
As a percentage of total no. of voting shares/units: 	0	0	0

8. Circumstances giving rise to deemed interests (if the interest is such):

[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

On 30 December 2016, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited. The acquisition was effected by the issue and allotment of new voting shares by China Reform Puissance Overseas Holdings Limited to China Reform Overseas Feeder GP Ltd., Golden Bridge Capital Holdings Limited and Soochow International Capital Limited ("Share Issuance"). Another consequence of the Share Issuance was the dilution of (i) the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to an 8% interest and (ii) the 40% interest held by China Reform Overseas Feeder GP Ltd in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to a 32% interest, in each case after the Share Issuance.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form:

[You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]

CRF Envirotech Co., Ltd. has a direct interest in 269,024,005 of the voting shares in CITIC Envirotech Ltd. (held through a nominee). CRF Envirotech Fund L.P. holds two-thirds of all the voting power in CRF Envirotech Co., Ltd and China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.) holds the remaining one-third of all of the voting power in CRF Envirotech Co., Ltd.. CRF Envirotech GP Ltd. is the general partner of CRF Envirotech Fund L.P.. China Reform Puissance Overseas GP L.P. is the general partner of China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.). China Reform Puissance Overseas GP L.P. holds 100% of the voting power in CRF Envirotech GP Ltd.. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P..

As stated in section 8. above, the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited was diluted to 8% on 30 December 2016. Puissance Capital Management L.P. (which is controlled by Puissance Capital Management (GP) LLC) has an 83.3% interest in Puissance Overseas Feeder GP Ltd.. Theodore T. Wang has a 99% interest in Puissance Capital Management (GP) LLC. The other shareholders of China Reform Puissance Overseas Holdings Limited are China Reform Overseas Feeder GP Ltd. (which holds 32% of the voting power), Soochow International Capital Limited (which holds 30% of the voting power) and Golden Bridge Capital Holdings Limited (which holds 30% of the voting power).

The holder of 100% of the voting power in China Reform Overseas Feeder GP Ltd. was changed from China Reform Overseas Hongkong Company Limited to China Reform Overseas Cayman Company Limited on 30 December 2016. See Substantial Shareholders H and I of this Form 3 for further details.

Other than as set out in all the sections 8. of this Form 3, there have been no changes with respect to the deemed interest of the upstream entities and shareholders as previously disclosed in the Forms 3 notified to CITIC Envirotech Ltd. on 26 October 2016, 10 November 2016 and 16 November 2016. Note that China Reform Puissance Overseas Fund I L.P. changed its name to China Reform Soochow Overseas Fund I L.P. on 28 December 2016.

10. Attachments (if any): 



(The total file size for all attachment(s) should not exceed 1MB.)

11. If this is a **replacement** of an earlier notification, please provide:

(a) SGXNet announcement reference of the **first** notification which was announced on SGXNet (*the "Initial Announcement"*):

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(b) Date of the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

12. Remarks (*if any*):

--

Substantial Shareholder/Unitholder F



Delete This Part III Substantial Shareholder/Unitholder

1. Name of Substantial Shareholder/Unitholder:

Puissance Capital Management (GP) LLC

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

Yes

No

3. Notification in respect of:

Becoming a Substantial Shareholder/Unitholder

Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:

30-Dec-2016

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 4 above, please specify the date*):

30-Dec-2016

6. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

--

Save as FINAL

Save as DRAFT

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

<i>Immediately before the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/units: ⓘ	0	23.85	23.85
<i>Immediately after the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures :	0	0	0
As a percentage of total no. of voting shares/units: ⓘ	0	0	0

8. Circumstances giving rise to deemed interests (if the interest is such):
 [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

On 30 December 2016, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited. The acquisition was effected by the issue and allotment of new voting shares by China Reform Puissance Overseas Holdings Limited to China Reform Overseas Feeder GP Ltd., Golden Bridge Capital Holdings Limited and Soochow International Capital Limited ("Share Issuance"). Another consequence of the Share Issuance was the dilution of (i) the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to an 8% interest and (ii) the 40% interest held by China Reform Overseas Feeder GP Ltd in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to a 32% interest, in each case after the Share Issuance.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form:
 [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]

CRF Envirotech Co., Ltd. has a direct interest in 269,024,005 of the voting shares in CITIC Envirotech Ltd. (held through a nominee). CRF Envirotech Fund L.P. holds two-thirds of all the voting power in CRF Envirotech Co., Ltd and China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.) holds the remaining one-third of all of the voting power in CRF Envirotech Co., Ltd.. CRF Envirotech GP Ltd. is the general partner of CRF Envirotech Fund L.P.. China Reform Puissance Overseas GP L.P. is the general partner of China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.). China Reform Puissance Overseas GP L.P. holds 100% of the voting power in CRF Envirotech GP Ltd.. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P..

As stated in section 8. above, the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited was diluted to 8% on 30 December 2016. Puissance Capital Management L.P. (which is controlled by Puissance Capital Management (GP) LLC) has an 83.3% interest in Puissance Overseas Feeder GP Ltd.. Theodore T. Wang has a 99% interest in Puissance Capital Management (GP) LLC. The other shareholders of China Reform Puissance Overseas Holdings Limited are China Reform Overseas Feeder GP Ltd. (which holds 32% of the voting power), Soochow International Capital Limited (which holds 30% of the voting power) and Golden Bridge Capital Holdings Limited (which holds 30% of the voting power).

The holder of 100% of the voting power in China Reform Overseas Feeder GP Ltd. was changed from China Reform Overseas Hongkong Company Limited to China Reform Overseas Cayman Company Limited on 30 December 2016. See Substantial Shareholders H and I of this Form 3 for further details.

Other than as set out in all the sections 8. of this Form 3, there have been no changes with respect to the deemed interest of the upstream entities and shareholders as previously disclosed in the Forms 3 notified to CITIC Envirotech Ltd. on 26 October 2016, 10 November 2016 and 16 November 2016. Note that China Reform Puissance Overseas Fund I L.P. changed its name to China Reform Soochow Overseas Fund I L.P. on 28 December 2016.

10. Attachments (if any): 



(The total file size for all attachment(s) should not exceed 1MB.)

11. If this is a **replacement** of an earlier notification, please provide:

(a) SGXNet announcement reference of the **first** notification which was announced on SGXNet (the "Initial Announcement"):

(b) Date of the Initial Announcement:

(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

12. Remarks (if any):

Substantial Shareholder/Unitholder **G**



Delete This Part III Substantial Shareholder/Unitholder

Save as FINAL

Save as DRAFT

1. Name of Substantial Shareholder/Unitholder:

Theodore T. Wang

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

Yes

No

3. Notification in respect of:

Becoming a Substantial Shareholder/Unitholder

Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:

30-Dec-2016

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest ⓘ (if different from item 4 above, please specify the date):

30-Dec-2016

6. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

<i>Immediately before the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/units: ⓘ	0	23.85	23.85
<i>Immediately after the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures :	0	0	0
As a percentage of total no. of voting shares/units: ⓘ	0	0	0

8. Circumstances giving rise to deemed interests (if the interest is such):

[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Save as FINAL

Save as DRAFT

On 30 December 2016, Soochow International Capital Limited acquired a 30% interest in the voting power of China Reform Puissance Overseas Holdings Limited. The acquisition was effected by the issue and allotment of new voting shares by China Reform Puissance Overseas Holdings Limited to China Reform Overseas Feeder GP Ltd., Golden Bridge Capital Holdings Limited and Soochow International Capital Limited ("Share Issuance"). Another consequence of the Share Issuance was the dilution of (i) the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to an 8% interest and (ii) the 40% interest held by China Reform Overseas Feeder GP Ltd in the voting power of China Reform Puissance Overseas Holdings Limited immediately prior to the Share Issuance to a 32% interest, in each case after the Share Issuance.

9. **Relationship between the Substantial Shareholders/Unitholders giving notice in this form:**
[You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ Unitholders]

CRF Envirotech Co., Ltd. has a direct interest in 269,024,005 of the voting shares in CITIC Envirotech Ltd. (held through a nominee). CRF Envirotech Fund L.P. holds two-thirds of all the voting power in CRF Envirotech Co., Ltd and China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.) holds the remaining one-third of all of the voting power in CRF Envirotech Co., Ltd.. CRF Envirotech GP Ltd. is the general partner of CRF Envirotech Fund L.P.. China Reform Puissance Overseas GP L.P. is the general partner of China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.). China Reform Puissance Overseas GP L.P. holds 100% of the voting power in CRF Envirotech GP Ltd.. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P..

As stated in section 8. above, the 30% interest held by Puissance Overseas Feeder GP Ltd. in the voting power of China Reform Puissance Overseas Holdings Limited was diluted to 8% on 30 December 2016. Puissance Capital Management L.P. (which is controlled by Puissance Capital Management (GP) LLC) has an 83.3% interest in Puissance Overseas Feeder GP Ltd.. Theodore T. Wang has a 99% interest in Puissance Capital Management (GP) LLC. The other shareholders of China Reform Puissance Overseas Holdings Limited are China Reform Overseas Feeder GP Ltd. (which holds 32% of the voting power), Soochow International Capital Limited (which holds 30% of the voting power) and Golden Bridge Capital Holdings Limited (which holds 30% of the voting power).

The holder of 100% of the voting power in China Reform Overseas Feeder GP Ltd. was changed from China Reform Overseas Hongkong Company Limited to China Reform Overseas Cayman Company Limited on 30 December 2016. See Substantial Shareholders H and I of this Form 3 for further details.

Other than as set out in all the sections 8. of this Form 3, there have been no changes with respect to the deemed interest of the upstream entities and shareholders as previously disclosed in the Forms 3 notified to CITIC Envirotech Ltd. on 26 October 2016, 10 November 2016 and 16 November 2016. Note that China Reform Puissance Overseas Fund I L.P. changed its name to China Reform Soochow Overseas Fund I L.P. on 28 December 2016.

10. **Attachments (if any):** 



(The total file size for all attachment(s) should not exceed 1MB.)

11. If this is a **replacement** of an earlier notification, please provide:

- (a) SGXNet announcement reference of the **first** notification which was announced on SGXNet (the "Initial Announcement"):

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

- (b) Date of the Initial Announcement:

- (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

12. Remarks (if any):

Substantial Shareholder/Unitholder H 

Delete This Part III Substantial Shareholder/Unitholder

1. Name of Substantial Shareholder/Unitholder:

China Reform Overseas Hongkong Company Limited

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?


- Yes
 No

3. Notification in respect of:

- Becoming a Substantial Shareholder/Unitholder
 Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:


30-Dec-2016

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest  (if different from item 4 above, please specify the date):

30-Dec-2016


6. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

<i>Immediately before the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/units: 	0	23.85	23.85
<i>Immediately after the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>

Save as FINAL

Save as DRAFT

No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures :	0	0	0
As a percentage of total no. of voting shares/units: 	0	0	0

8. Circumstances giving rise to deemed interests (if the interest is such):
[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

On 30 December 2016, China Reform Overseas Hongkong Company Limited ceased to be the 100% shareholder of China Reform Overseas Feeder GP Ltd.. On the same day, China Reform Overseas Cayman Company Limited became the 100% shareholder of China Reform Overseas Feeder GP Ltd..

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form:
[You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]

CRF Envirotech Co., Ltd. has a direct interest in 269,024,005 of the voting shares in CITIC Envirotech Ltd. (held through a nominee). CRF Envirotech Fund L.P. holds two-thirds of all the voting power in CRF Envirotech Co., Ltd and China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.) holds the remaining one-third of all of the voting power in CRF Envirotech Co., Ltd.. CRF Envirotech GP Ltd. is the general partner of CRF Envirotech Fund L.P.. China Reform Puissance Overseas GP L.P. is the general partner of China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.). China Reform Puissance Overseas GP L.P. holds 100% of the voting power in CRF Envirotech GP Ltd.. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P..

China Reform Overseas Feeder GP Ltd. holds 32% of the voting power in China Reform Puissance Overseas Holdings Limited. The other shareholders of China Reform Puissance Overseas Holdings Limited are Soochow International Capital Limited (which holds 30% of the voting power), Golden Bridge Capital Holdings Limited (which holds 30% of the voting power) and Puissance Overseas Feeder GP Ltd. (which holds 8% of the voting power).

The holder of 100% of the voting power in China Reform Overseas Feeder GP Ltd. was changed from China Reform Overseas Hongkong Company Limited to China Reform Overseas Cayman Company Limited on 30 December 2016. China Reform Overseas Cayman Company Limited is the 100% subsidiary of 国新基金管理有限公司 (China Reform Fund Management Co., Ltd.). 国新基金管理有限公司 (China Reform Fund Management Co., Ltd.)'s deemed interest in CITIC Envirotech Ltd. was previously notified to CITIC Envirotech Ltd. via Forms 3 on 10 October 2016 and 26 October 2016.

Other than as set out in all the sections 8. of this Form 3, there have been no changes with respect to the deemed interest of the upstream entities and shareholders as previously disclosed in the Forms 3 notified to CITIC Envirotech Ltd. on 26 October 2016, 10 November 2016 and 16 November 2016. Note that China Reform Puissance Overseas Fund I L.P. changed its name to China Reform Soochow Overseas Fund I L.P. on 28 December 2016.

10. Attachments (if any): 



(The total file size for all attachment(s) should not exceed 1MB.)

11. If this is a **replacement** of an earlier notification, please provide:

- (a) SGXNet announcement reference of the **first** notification which was announced on SGXNet (the "Initial Announcement"):

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(b) Date of the Initial Announcement:

(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

12. Remarks (if any):

Substantial Shareholder/Unitholder |



Delete This Part III Substantial Shareholder/Unitholder

1. Name of Substantial Shareholder/Unitholder:

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

Yes

No

3. Notification in respect of:

Becoming a Substantial Shareholder/Unitholder

Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):



6. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
------------------------------------	-----------------	-----------------	-------

Save as FINAL

Save as DRAFT

No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	0	0
As a percentage of total no. of voting shares/units: 	0	0	0
<i>Immediately after the transaction</i>	<i>Direct Interest</i>	<i>Deemed Interest</i>	<i>Total</i>
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures :	0	269,024,005	269,024,005
As a percentage of total no. of voting shares/units: 	0	23.85	23.85

8. Circumstances giving rise to deemed interests (if the interest is such):

[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

On 30 December 2016, China Reform Overseas Hongkong Company Limited ceased to be the 100% shareholder of China Reform Overseas Feeder GP Ltd.. On the same day, China Reform Overseas Cayman Company Limited became the 100% shareholder of China Reform Overseas Feeder GP Ltd..

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form:

[You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]

CRF Envirotech Co., Ltd. has a direct interest in 269,024,005 of the voting shares in CITIC Envirotech Ltd. (held through a nominee). CRF Envirotech Fund L.P. holds two-thirds of all the voting power in CRF Envirotech Co., Ltd and China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.) holds the remaining one-third of all of the voting power in CRF Envirotech Co., Ltd.. CRF Envirotech GP Ltd. is the general partner of CRF Envirotech Fund L.P.. China Reform Puissance Overseas GP L.P. is the general partner of China Reform Soochow Overseas Fund I L.P. (formerly known as China Reform Puissance Overseas Fund I L.P.). China Reform Puissance Overseas GP L.P. holds 100% of the voting power in CRF Envirotech GP Ltd.. China Reform Puissance Overseas Holdings Limited is the general partner of China Reform Puissance Overseas GP L.P..

China Reform Overseas Feeder GP Ltd. holds 32% of the voting power in China Reform Puissance Overseas Holdings Limited. The other shareholders of China Reform Puissance Overseas Holdings Limited are Soochow International Capital Limited (which holds 30% of the voting power), Golden Bridge Capital Holdings Limited (which holds 30% of the voting power) and Puissance Overseas Feeder GP Ltd. (which holds 8% of the voting power).

The holder of 100% of the voting power in China Reform Overseas Feeder GP Ltd. was changed from China Reform Overseas Hongkong Company Limited to China Reform Overseas Cayman Company Limited on 30 December 2016. China Reform Overseas Cayman Company Limited is the 100% subsidiary of 国新基金管理有限公司 (China Reform Fund Management Co., Ltd.). 国新基金管理有限公司 (China Reform Fund Management Co., Ltd.)'s deemed interest in CITIC Envirotech Ltd. was previously notified to CITIC Envirotech Ltd. via Forms 3 on 10 October 2016 and 26 October 2016.

Other than as set out in all the sections 8. of this Form 3, there have been no changes with respect to the deemed interest of the upstream entities and shareholders as previously disclosed in the Forms 3 notified to CITIC Envirotech Ltd. on 26 October 2016, 10 November 2016 and 16 November 2016. Note that China Reform Puissance Overseas Fund I L.P. changed its name to China Reform Soochow Overseas Fund I L.P. on 28 December 2016.

10. Attachments (if any): 



(The total file size for all attachment(s) should not exceed 1MB.)

Save as FINAL

Save as DRAFT

11. If this is a **replacement** of an earlier notification, please provide:

- (a) SGXNet announcement reference of the **first** notification which was announced on SGXNet (*the "Initial Announcement"*):

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

- (b) Date of the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

- (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

12. Remarks (*if any*):

--

Additional Substantial Shareholder/Unitholder ("B", "C" etc) where the information in Part I and Part IV is the same for the additional Substantial Shareholder/Unitholder

Add New Part III Substantial Shareholder/Unitholder

Save as FINAL

Save as DRAFT

Part IV - Transaction details

1. Type of securities which are the subject of the transaction (*more than one option may be chosen*):

- Voting shares/units
- Rights/Options/Warrants over voting shares/units
- Convertible debentures over voting shares/units (*conversion price known*)
- Others (*please specify*):

2. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholders/Unitholders:

269,024,005

3. Amount of consideration paid or received by Substantial Shareholders/Unitholders (*excluding brokerage and stamp duties*):

N.A. This notification relates to a deemed interest

4. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (*e.g. married deals*)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (*e.g. married deals*)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in (*please specify*):

Others (*please specify*):

See Section 8. (A, B, C, D, E, F, G, H and I) above.

Save as FINAL

Save as DRAFT

Item 5 is to be completed by an individual submitting this notification form on behalf of the Substantial Shareholders/Unitholders.

5. Particulars of Individual submitting this notification form to the Listed Issuer:

(a) Name of Individual:

Joanna Tang

(b) Designation (if applicable):

Office Manager

(c) Name of entity (if applicable):

China Reform Puissance Overseas GP L.P.

Transaction Reference Number (auto-generated):

1	7	7	1	3	1	2	4	7	8	2	7	3	6	3
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---