SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

FORM

3
(Electronic Format)

Explanatory Notes

- 1. Please read the explanatory notes carefully before completing the notification form.
- 2. This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
 - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
 - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Part I - General 1. Name of Listed Issuer: UNITED ENVIROTECH LTD. 2. Type of Listed Issuer: ✓ Company/Corporation Registered/Recognised Business Trust □ Real Estate Investment Trust 3. Is more than one Substantial Shareholder/Unitholder giving notice in this form? ☐ No (Please proceed to complete Part II) ✓ Yes (Please proceed to complete Parts III & IV) Date of notification to Listed Issuer: 4. 24-Apr-2015

Part III - Substantial Shareholder(s)/Unitholder(s) Details

[To be used for multiple Substantial Shareholders/Unitholders to give notice]

Substantial Shareholder/Unitholde	r A
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	Name of Substantial Charabalder/	In it had do no		
1.	Name of Substantial Shareholder/U			
2.	Is Substantial Shareholder/Unitholsecurities of the Listed Issuer are h		•	vhose interest in the
	✓ No			
3.	Notification in respect of: Becoming a Substantial Sharehold	ler/Unitholder		
	Change in the percentage level of		maining a Substantia	l Shareholder/Unitholde
	Ceasing to be a Substantial Share			
4.	Date of acquisition of or change in	interest:		
	23-Apr-2015			
5.	Date on which Substantial Shareho change in, interest (if different			•
	23-Apr-2015			
6.	Explanation (if the date of becoming change in, interest):	ng aware is differe	ent from the date of	acquisition of, or the
7.	Quantum of total voting shares/un	its (including votin	ng shares/units und	erlying rights/options/
	warrants/convertible debentures {currents/convertible debentures {		nown}) held by Sub	stantial Shareholder
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
	of voting shares/units held and/or	0	0	0

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	0	0
As a percentage of total no. of voting shares/units:	0	0	0
Immediately after the transaction	Direct Interest	Deemed Interest	Total
			TOtal
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	0	987,770,569	987,770,569

wing the completion of the Offer, the Convertible Bonds Offer and the Proposed Placement, pursuant the cerms of the CITIC Subscription Agreement, the CITIC Loan Capitalisation took place on 23 April 2015 and ggregate of 618,843,641 Offeror Shares were allotted and issued to CITIC Environment, thus giving CITIC ronment a controlling interest in the Offeror. Pursuant to Section 4(4) of the Securities and Futures Act, other 289 of Singapore, CITIC Environment is deemed interested in the UEL Shares held indirectly by the ror. All the UEL Shares tendered in acceptance of the Offer are held through the Offeror's wholly-owned idiaries, namely, CENVIT (Cayman) Company Limited, KKR China Water Investment Limited ("KKRCWIL"), and Resources Limited and P&L Capital Limited. Edition, KKRCWIL has converted US\$44.0 million convertible bonds due 2016 into an aggregate number 17,926,189 ordinary shares in the capital of UEL at the conversion price of S\$0.45 per conversion share "CB Conversion"), and UEL has allotted and issued 117,926,189 new UEL Shares to KKRCWIL as at 23 Apr 5. Sess otherwise defined, all capitalised terms not defined in this notice shall have the same meaning as 1 in the offer document dated 19 March 2015.
17,926,189 ordinary shares in the capital of UEL at the conversion price of \$\$0.45 per conversion share "CB Conversion"), and UEL has allotted and issued 117,926,189 new UEL Shares to KKRCWIL as at 23 Apr 5. Sess otherwise defined, all capitalised terms not defined in this notice shall have the same meaning as
ationship between the Substantial Shareholders/Unitholders giving notice in this form: u may attach a chart in item 10 to show the relationship between the Substantial Shareholders/tholders]
C Environment has a controlling interest in the Offeror and pursuant to Section 4(4) of the Securities and ires Act, Chapter 289 of Singapore, CITIC Environment is deemed interested in the UEL Shares held rectly by the Offeror.
achments (<i>if any</i>): (The total file size for all attachment(s) should not exceed 1MB.)
nis is a replacement of an earlier notification, please provide:
SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
Date of the Initial Announcement:
Date of the mittal Afficultement.
15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
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Substantial		/	:41= = 1 = 1 = 1.
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		and the second		
1.	Name of Substantial Shareholder/			7
	CITIC ENVIRONMENT INVESTMENT GROU	IP CO., LTD.		
2.	Is Substantial Shareholder/Unithousecurities of the Listed Issuer are hardy Yes No		•	vhose interest in the
3.	Notification in respect of: ✓ Becoming a Substantial Sharehold	ler/Unitholder		
	Change in the percentage level of		maining a Substantia	l Shareholder/Unitholde
	Ceasing to be a Substantial Share		Ü	
4.	Date of acquisition of or change in	interest:		
	23-Apr-2015			
5.	Date on which Substantial Sharehochange in, interest (if different			•
	23-Apr-2015			
6.	Explanation (if the date of becoming change in, interest):	ng aware is differe	ent from the date of	acquisition of, or the
7.	Quantum of total voting shares/un	` •	•	
	warrants/convertible debentures (debentures) Unitholder before and after the transport	•	Thowns, there by Sub	Stantial Shareholder
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
und	of voting shares/units held and/or erlying the rights/options/warrants/vertible debentures:	0	0	0
As a	a percentage of total no. of voting shares/s:	0	0	0
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
	of voting shares/units held and/or erlying the rights/options/warrants/	0	987,770,569	987,770,569

8. Circumstances giving rise to deemed interests (if the interest is such):
[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

0

87.67

87.67

convertible debentures :

units:

As a percentage of total no. of voting shares/

		ubstantial shareholder is deemed interested in the UEL Shares held indirectly by the Offeror that CITI onment is deemed interested in through CITIC Environment's shareholding interest in the Offeror.
9.	[You	tionship between the Substantial Shareholders/Unitholders giving notice in this form: may attach a chart in item 10 to show the relationship between the Substantial Shareholders/polders]
		Environment (International) Company Limited is wholly-owned by CITIC Environment Investment Co., Ltd. (formerly known as CITIC Environment Protection Co. Ltd.).
	Invest	ant to Section 4(4) of the Securities and Futures Act, Chapter 289 of Singapore, CITIC Environment ment Group Co., Ltd. (formerly known as CITIC Environment Protection Co. Ltd.) is deemed intereste UEL Shares held indirectly by the Offeror.
	See pa	aragraph 9 of Substantial Shareholder A's notification.
10.	Atta	chments (if any): (The total file size for all attachment(s) should not exceed 1MB.)
11.	If this	s is a replacement of an earlier notification, please provide:
	(a)	SGXNet announcement reference of the first notification which was announced on SGXNet (the "Initial Announcement"):
	(b)	Date of the Initial Announcement:
	(c)	15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	Rem	parks (if any):
	April 2	ant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at 2 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the tota of are due to rounding.
Sub	stantia	al Shareholder/Unitholder C
1.	Nam	ne of Substantial Shareholder/Unitholder:
	CITIC	CORPORATION LIMITED

	Notification in respect of:			
	✓ Becoming a Substantial Sharehole	der/Unitholder		
	Change in the percentage level of	interest while still re	emaining a Substantia	al Shareholder/Unitholder
	Ceasing to be a Substantial Share	eholder/Unitholder		
4.	Date of acquisition of or change in	interest:		
	23-Apr-2015			
5.	Date on which Substantial Shareh change in, interest (if different			
	23-Apr-2015			
6.	Explanation (if the date of become change in, interest):	ing aware is differ	ent from the date o	f acquisition of, or the
7.	Quantum of total voting shares/ur	oits (including voti	na charac/unite una	dorlying rights/ontions/
7.	warrants/convertible debentures { Unitholder before and after the tra	conversion price l	•	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
	of voting shares/units held and/or	0	0	0
	derlying the rights/options/warrants/ overtible debentures:			
cor	a percentage of total no. of voting shares/	0	0	0
As	a percentage of total no. of voting shares/	0 Direct Interest	0 Deemed Interest	0 Total
As unit	a percentage of total no. of voting shares/			
As unit	Immediately after the transaction of voting shares/ tes: Immediately after the transaction of voting shares/units held and/or derlying the rights/options/warrants/ evertible debentures: a percentage of total no. of voting shares/	Direct Interest	Deemed Interest	Total
As unit	Immediately after the transaction of voting shares/ tes: Immediately after the transaction of voting shares/units held and/or derlying the rights/options/warrants/ evertible debentures: a percentage of total no. of voting shares/	Direct Interest 0 0 ned interests (if the	Deemed Interest 987,770,569 87.67 e interest is such):	Total 987,770,569 87.67
As unit	Immediately after the transaction of voting shares/ ts: Immediately after the transaction of voting shares/units held and/or derlying the rights/options/warrants/ evertible debentures: a percentage of total no. of voting shares/ ts: Circumstances giving rise to deem [You may attach a chart in item 10 to	Direct Interest 0 0 ned interests (if the illustrate how the Sometimested in the UELS)	Deemed Interest 987,770,569 87.67 e interest is such): ubstantial Shareholde	Total 987,770,569 87.67 87/Unitholder's deemed by the Offeror that CITIC

	Pursuant to Section 4(4) of the Securities and Futures Act, Chapter 289 of Singapore, CITIC Corporation Limited is deemed interested in the UEL Shares held indirectly by the Offeror.
	See paragraph 9 of the notifications of Substantial Shareholder A and Substantial Shareholder B respective
10.	Attachments (if any): 1
	(The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is a replacement of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	
	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at 2 April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the total
	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at 2 April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the total thereof are due to rounding.
Sub	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at 2 April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the total thereof are due to rounding. Stantial Shareholder/Unitholder D
Sub	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at 2 April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the total thereof are due to rounding. Stantial Shareholder/Unitholder D Name of Substantial Shareholder/Unitholder:
<u>Sub</u> 1.	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at 2 April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the total thereof are due to rounding. Stantial Shareholder/Unitholder D Name of Substantial Shareholder/Unitholder: CITIC Limited Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)? Yes
<u>Sub</u>	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at 2 April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the total thereof are due to rounding. Stantial Shareholder/Unitholder D Name of Substantial Shareholder/Unitholder: CITIC Limited Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)? Yes No
<u>Sub</u> 1.	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the total thereof are due to rounding. Stantial Shareholder/Unitholder D Name of Substantial Shareholder/Unitholder: CITIC Limited Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)? Yes No Notification in respect of: Becoming a Substantial Shareholder/Unitholder
<u>Sub</u> 1.	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the total thereof are due to rounding. Stantial Shareholder/Unitholder D Name of Substantial Shareholder/Unitholder: CITIC Limited Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)? Yes No Notification in respect of: Becoming a Substantial Shareholder/Unitholder
<u>Sub</u> 1.	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the total thereof are due to rounding. Stantial Shareholder/Unitholder D Name of Substantial Shareholder/Unitholder: CITIC Limited Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)? Yes No Notification in respect of: Becoming a Substantial Shareholder/Unitholder Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

	23-Apr-2015			
	Explanation (if the date of becomi change in, interest):	ng aware is differ	ent from the date o	f acquisition of, or
	Quantum of total voting shares/un warrants/convertible debentures { Unitholder before and after the train	conversion price l	•	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
ınd	of voting shares/units held and/or erlying the rights/options/warrants/vertible debentures:	0	0	0
As a	a percentage of total no. of voting shares/s:	0	0	0
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
ınd	of voting shares/units held and/or erlying the rights/options/warrants/ vertible debentures :	0	987,770,569	987,770,569
As a	a percentage of total no. of voting shares/	0	87.67	87.67
	Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]	•	•	er/Unitholder's deeme
	The substantial shareholder is deemed in Environment is deemed interested in thr			
	Relationship between the Substan [You may attach a chart in item 10 to Unitholders]		9 9	
	CITIC Corporation Limited is wholly-own Pursuant to Section 4(4) of the Securities interested in the UEL Shares held indirec	and Futures Act, Cha	apter 289 of Singapore,	CITIC Limited is deem
	See paragraph 9 of the notifications of Su		er A, Substantial Sharel	nolder B and Substanti

10.	Attachments (<i>if any</i>):
	(The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is a replacement of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	Remarks (if any):
	Pursuant to the Proposed Placement and the CB Conversion, the total number of issued UEL Shares as at 23
	April 2015 amounts to 1,126,715,088 UEL Shares. Any discrepancies in the percentages listed and the totals thereof are due to rounding.
Subs	stantial Shareholder/Unitholder E
1.	Name of Substantial Shareholder/Unitholder:
	CITIC GROUP CORPORATION
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)? Yes No
3.	Notification in respect of:
	✓ Becoming a Substantial Shareholder/Unitholder
	☐ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
	Ceasing to be a Substantial Shareholder/Unitholder
4.	Date of acquisition of or change in interest:
	23-Apr-2015
5.	Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):
	23-Apr-2015
6.	Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

7. Quantum of total voting shares/units (including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	0	0
As a percentage of total no. of voting shares/units:	0	0	0
Immediately after the transaction	Direct Interest	Deemed Interest	Total
miniodiatory artor the transaction	Direct interest	Deemed interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	0	987,770,569	987,770,569

8. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

The substantial shareholder is deemed interested in the UEL Shares held indirectly by the Offeror that CITIC Environment is deemed interested in through CITIC Environment's shareholding interest in the Offeror.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ Unitholders]

CITIC Limited is a subsidiary of CITIC Group Corporation.

Pursuant to Section 4(4) of the Securities and Futures Act, Chapter 289 of Singapore, CITIC Group Corporation is deemed interested in the UEL Shares held indirectly by the Offeror.

See paragraph 9 of the notifications of Substantial Shareholder A, Substantial Shareholder B, Substantial Shareholder C and Substantial Shareholder D respectively.

10. Attachments (if any):



(The total file size for all attachment(s) should not exceed 1MB.)

- 11. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the first notification which was announced on SGXNet (the "Initial Announcement"):

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		ı		l .		l .				
1	ı	l	l .	l	ı	ı			l	

(b) Date of the Initial Announcement:

											7
2. R	emarks	i (if a	ny):								
Αp	irsuant to oril 2015 ereof are	amou	ınts to	1,126	,715,	nent a 088 U	nd th	ne (CB Co es. A	ny di	rsion, the total number of issued UEL Shares as at screpancies in the percentages listed and the total

Part IV - Transaction details

cl	ype of securities which are the subject of the transaction <i>(more than one option may be</i> chosen):
✓	Voting shares/units
	Rights/Options/Warrants over voting shares/units
	Convertible debentures over voting shares/units (conversion price known)
	Others (please specify):
	umber of shares, units, rights, options, warrants and/or principal amount of convertible
	bentures acquired or disposed of by Substantial Shareholders/Unitholders:
No	ot applicable
	nount of consideration paid or received by Substantial Shareholders/Unitholders (excluding okerage and stamp duties):
No	ot applicable
Ci	rcumstance giving rise to the interest or change in interest:
Ac	equisition of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
Di	sposal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
Ot	her circumstances:
	Acceptance of take-over offer for the Listed Issuer
	Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in (please specify):
√	Others (please specify):
CI	TIC Environment is deemed interested in the UEL Shares held indirectly by the Offeror through CITIC
	vironment's shareholding interest in the Offeror.

		iculars of Individual submitting this notification form to the Listed Issuer:	
	(a)	Name of Individual:	
		Bernia Tan	
	(b)	Designation (if applicable):	
		Partner	
	(c)	Name of entity (if applicable):	
		Rajah & Tann Singapore LLP	
rar	nsactio	on Reference Number (auto-generated):	
7	8 4	4 3 0 2 4 1 8 6 2 8 4 3	